

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	IO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO. CONFIRMATIO		
09/416,192	10/11/1999	JOHN G. STARK	00418 5834		
75	90 11/18/2003	EXAMINER			
Peter S. Dardi			RICHMAN, GLENN E		
Patterson, Thuente, Skaar & Christensen, P.A. 4800 IDS Center			ART UNIT	PAPER NUMBER	
80 South 8th Str		3764			
Minneapolis,, MN 55402-2100			DATE MAILED: 11/18/2003 — ()		

Please find below and/or attached an Office communication concerning this application or proceeding.

NK

Office Action Summary

Application No. **09/416,192**

Applicant(s)

Examiner

Glenn Richman

Art Unit **3764**

Stark

Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE	The MAILING DATE of this communication appears on the cover sheet with the correspondence address							
THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on Sep 8, 2003 This action is FINAL. 2b) This action is non-final.	Period ¹	for Reply						
If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) X Responsive to communication(s) filed on Sep 8, 2003 This action is FINAL. 2b) This action is non-final.	THE MAILING DATE OF THIS COMMUNICATION.							
1) X Responsive to communication(s) filed on <u>Sep 8, 2003</u> . 2a) X This action is FINAL . 2b) This action is non-final.	mailing - If the - If NO - Failure - Any re	g date of this communication. Deriod for reply specified above is less than thirty (30) days, a reply within the period for reply is specified above, the maximum statutory period will apply a to reply within the set or extended period for reply will, by statute, cause the ply received by the Office later than three months after the mailing date of the set of the ply received by the Office later than three months after the mailing date of the set	he statutory min and will expire S he application to	imum of th IX (6) MON become A	rty (30) days will be of THS from the mailing BANDONED (35 U.S.C	onsidered timely. date of this communication. . § 133}.		
2a) ▼ This action is FINAL . 2b) □ This action is non-final.	Status							
	1)[X	Responsive to communication(s) filed on Sep 8, 20	003					
	2a) 💢	This action is FINAL . 2b) ☐ This act	tion is non-	final.				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11; 453 O.G. 213.	3) 🗆							
Disposition of Claims	Disposi	tion of Claims						
4) X Claim(s) 1-37 is/are pending in the application.	4) 💢	Claim(s) <u>1-37</u>			is/are	pending in the application.		
4a) Of the above, claim(s) 1-10 and 18-24 is/are withdrawn from consideration.	4	a) Of the above, claim(s) <u>1-10 and 18-24</u>			is/are	withdrawn from consideration.		
5) Claim(s) is/are allowed.	5) 🗆	Claim(s)			is	/are allowed.		
6) 🔀 Claim(s) 11-17 and 25-37 is/are rejected.	6) 💢	Claim(s) 11-17 and 25-37			is	/are rejected.		
7) Claim(s) is/are objected to.	7) 🗆	Claim(s)			is	/are objected to.		
8) Claims are subject to restriction and/or election requirement.	8) 🗆	Claims		are su	ject to restrict	on and/or election requirement.		
Application Papers	Applica	ation Papers						
9) The specification is objected to by the Examiner.	9) 🗆	The specification is objected to by the Examiner.						
10) ☐ The drawing(s) filed on is/are a) ☐ accepted or b) ☐ objected to by the Examiner.	10)							
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).		Applicant may not request that any objection to the d	drawing(s) b	e held ir	abeyance. See	37 CFR 1.85(a).		
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examine	11)							
If approved, corrected drawings are required in reply to this Office action.								
12) The oath or declaration is objected to by the Examiner.	12)							
Priority under 35 U.S.C. §§ 119 and 120								
13) Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).								
a) 🗌 All b) 🗎 Some* c) 🔲 None of:								
1. Certified copies of the priority documents have been received.								
2. Certified copies of the priority documents have been received in Application No								
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).								
*See the attached detailed Office action for a list of the certified copies not received.								
14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).								
a) The translation of the foreign language provisional application has been received.								
15)								
Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)			4) Interd	aw Summa	ry (PTO-413) Poner M	n(s).		
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) Notice of Informal Patent Application (PTO-152)	_		_					
3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	•							

Application/Control Number: 09/416,192 Page 2

Art Unit: 3764

1. Applicant's arguments filed 9/8/03 have been fully considered but they are not persuasive.

- 2. The rejection from the prior office action is maintained and incorporated herein by reference.
- 3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371© of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

- 4. Claims 11-17 and 25-37 are rejected under 35 U.S.C. 102(e) as being clearly anticipated by Joao.
- 5. As to the applicant's arguments:
- a. Examiner does not assert that the parent application supports the rejection.

Application/Control Number: 09/416,192 Page 3

Art Unit: 3764

Since the examiner is relying on the date of the parent application it is inherent that the rejection is supported by the parent. Furthermore the examiner is not aware of the necessity of any redundant statement supporting such.

b. No analysis interaction algorithms that automatically evaluates and updates a patients treatment protocol.

Joao discloses the computer is performing an algorithm which evaluates and updates a patients treatment protocol (col. 36, lines 45-63).

6. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Application/Control Number: 09/416,192

Page 4

Art Unit: 3764

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Glenn Richman whose telephone number is (703)308-3170. The examiner can normally be reached Tuesday through Thursday from 7:30 AM to 6:00 PM Eastern time. The facsimile number for Art Unit 3764 is (703)308-0758. The facsimile number for submitting formal papers to Technology Center 3700 is (703)305-3590.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group 3700 receptionist whose telephone number is (703)308-0858 or to Customer Service at (703)306-6789.

gr November 13, 2003 Glenn Richman
Primary Examiner
AU 3764